EARTHQUAKE ENGINEERING & STRUCTURAL DYNAMICS *Earthquake Engng Struct. Dyn.* 2016 Published online in Wiley Online Library (wileyonlinelibrary.com). DOI: 10.1002/eqe.2724

# Out-of-plane stability assessment of buckling-restrained braces including connections with chevron configuration

# Toru Takeuchi<sup>\*,†</sup>, Ryota Matsui and Saki Mihara

Department of Architecture and Building Engineering, Tokyo Institute of Technology, Tokyo, Japan

# SUMMARY

One of the key limit states of buckling-restrained braces (BRBs) is global flexural buckling including the effects of the connections. The authors have previously proposed a unified explicit equation set for controlling the out-of-plane stability of BRBs based on bending-moment transfer capacity at the restrainer ends. The proposed equation set is capable of estimating BRB stability for various connection stiffnesses, including initial out-of-plane drift effects. However, it is only valid for symmetrical end conditions, limiting application to the single diagonal configuration. In the chevron configuration, the out-of-plane stiffness in the two ends differs because of the rotation of the attached beam. In this study, the equation set is extended to BRBs with asymmetric end conditions, such as the chevron configuration. Cyclic loading tests of the chevron configuration with initial out-of-plane drifts are conducted, and the results are compared with the proposed equation set, which is formulated as a function of the normalized stiffness of the attached beam. © 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

Received 15 July 2015; Revised 4 February 2016; Accepted 5 February 2016

KEY WORDS: buckling-restrained brace; connections; chevron configuration; cyclic loading test; moment transfer capacity; mechanical stability

# 1. INTRODUCTION

One key factor that influences the seismic performance of buckling-restrained braces (BRBs) is the global flexural buckling. In the past 25 years, numerous researchers have conducted experiments and numerical analysis on BRBs to establish a method of avoiding global flexural buckling and ensuring stable hysteresis. However, the critical aspect of BRB performance requires inclusion of the connection effect in the assessment of the buckling failure mode. Takeuchi *et al.* [1] summarized the most recent literature related to connection failure, which includes research highlights [2–14] and the steel structure seismic provisions [15, 16]. Additionally, Lin *et al.* [17] investigated the connection stress distribution by means of experimental testing and FEM analysis, proposing several design recommendations. Zhao *et al.* [18] proposed a practical design method to ensure the global stability of the BRBs based on a moment amplification factor in order to simplify the effect of connections. Bruneau *et al.* [19] suggested evaluation of BRB connection buckling strength by Euler buckling, taking the equivalent length as twice the connection as a cantilever. However, this assumption is optimistic in actual conditions, as the effect of end rotations is not negligible, especially in chevron configurations.

Previous studies have treated the restrainer-ends as pin connections and the gusset plates ends as rotationally fixed. However, the bending-moment transfer capacity at the restrainer ends and gusset

<sup>\*</sup>Correspondence to: Department of Architecture and Building Engineering Tokyo Institute of Technology, Tokyo, Japan. <sup>†</sup>E-mail: ttoru@arch.titech.ac.jp

<sup>© 2016</sup> The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs License, which permits use and distribution in any medium, provided the original work is properly cited, the use is non-commercial and no modifications or adaptations are made.

plate rotational stiffness significantly affects the global stability of BRBs. Additionally, these past studies have generally not considered the effects of story drift in the out-of-plane direction. Although Palmer et al. [20] carried out bidirectional tests on pin-ended BRBs, effects on bolted BRBs were not clarified. These shortcomings were addressed by the author's previously proposed method [1], namely, a more rigorous treatment of boundary conditions including bending moment transfer-capacity at the restrainer ends and initial imperfections due to bidirectional effects. The authors discussed the stability requirements for BRBs in a one-way configuration, including the aforementioned conditions, and proposed a simple set of equations. As part of that study, the authors performed cyclic axial loading tests on BRBs with an initial out-of-plane drift and verified the accuracy of the proposed equation set. However, the proposed equations are derived under the condition that the same connections exist at both ends, a condition that is applicable only for certain one-way configurations (Figure 1(a)). In the present study, the equation set is extended to BRBs in a chevron configuration (Figure 1(b)) with asymmetrical end conditions, and a general stability evaluation method for BRBs is proposed. A series of cyclic loading tests on BRBs in a chevron configuration (Figure 1(c)) were conducted, and the results are compared with those obtained using the proposed extended equations.

# 2. STABILITY LIMITS UNDER ASYMMETRICAL CONDITIONS

The authors have proposed the following equations to evaluate the stability limit of BRBs, including the connection effect. The stability limit axial force,  $N_{lim1}$ , is expressed as follows [1], which needs to be larger than the maximum yield axial force of the core member,  $N_{cu}$ .

$$N_{lim1} = \frac{\left(M_p^r - M_0^r\right)/a_r + N_{cr}^r}{\left(M_p^r - M_0^r\right)/\left(a_r N_{cr}^B\right) + 1} > N_{cu}$$
(1)

Here,  $M_p^r$  denotes the moment transfer capacity at the restrainer end and  $M_0^r$  denotes the initial bending moment at the restrainer ends produced by out-of-plane drift (Appendix A).  $a_r$  denotes the initial imperfection at the restrainer ends, which can be estimated as  $a_r = a_t + e + s_r + (2s_r/L_in)\xi L_0$ , and is shown in Figure 2 in the Notations.  $N_{cr}^B$  denotes the global elastic buckling strength of a BRB, including the effects of the bending stiffness of the connection zone and the rotational stiffness of gusset plates (Appendix B). The difference of  $(M_p^r - M_0^r)$  is assumed as zero for negative values.



chevron configuration

Figure 1. Chevron buckling-restrained braces configuration.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.



Figure 2. Initial imperfection.

 $N_{cr}^r$  is the buckling strength of connections, where the bending-moment transfer capacity at the restrainer ends is not considered. In the elastic range with fixed end rotations, this value is estimated as  $N_{cr}^r = \pi^2 \gamma_J E I_B / (2\xi L_0)^2$ . In the elasto-plastic range with end rotational springs,  $N_{cr}^r$  can be evaluated by substituting the equivalent slenderness ratio, given in Equation (2), into the various elasto-plastic design column curves.

$$\lambda_r = \frac{2\xi L_0}{i_c} \cdot \sqrt{\frac{\xi \kappa_{Rg} + 24/\pi^2}{(1 - 2\xi)_{\xi} \kappa_{Rg}}}$$
(2)

Here,  $\xi L_0$  is the connection length,  $i_c$  is the radius of gyration in the connection zone,  $\xi \kappa_{Rg}$  is the normalized rotational stiffness at the outer ends of the connections given by  $\xi \kappa_{Rg} = (K_{Rg}\xi L_0)/(\gamma_J E I_B)$ , and  $K_{Rg}$  is the rotational stiffness of the gusset plate. When the moment transfer capacity  $M_p^r = 0$  and  $\xi \kappa_{Rg}$  is infinity, Equations (1) and (2) give the same criteria as Bruneau *et al.* [19]. However, as reported in Ida *et al.* [21],  $\xi \kappa_{Rg}$  is distributed between 0.2 and 1.0 in actual connections, and the effective buckling length becomes larger than twice the connection length.

Similar to Equation (1), under the assumption that plastic hinges are created at the gusset plates, the expected limit axial force,  $N_{lim2}$ , is proposed as follows [1]:

$$N_{lim2} = \frac{\left[\left\{(1 - 2\xi)M_p^g - M_0^r\right\} + \left(M_p^r - M_0^r\right)\right]/a_r}{\left[\left\{(1 - 2\xi)M_p^g - M_0^r\right\} + \left(M_p^r - M_0^r\right)\right]/(a_r N_{cr}^B) + 1} > N_{cu},\tag{3}$$

where  $M_p^g$  is the plastic bending strength of the gusset plate including the axial force effect. [ $(1-2\zeta)M_p^g-M_0^r$ ] or [ $M_p^r-M_0^r$ ] should be taken as zero if the difference is negative.

The smaller of the two limit forces obtained from Equations (1) and (3) becomes the stability limit axial force,  $N_{lim}$ , and the BRB is considered to be stable where  $N_{lim}$  is larger than the maximum yielding force of the core,  $N_{cu}$ . These equations have been derived from the intersection of the elastic buckling path and ultimate strength curve as shown in Figure 3. The elastic buckling path can be defined as follows:

$$N = \frac{y_r}{y_r + a_r} N^B_{cr},\tag{4}$$

where  $y_r$  denotes out-of-plane deformation at restrainer ends and  $N_{cr}^B$  denotes the global elastic BRB buckling strength, including the effects of the connection zone's bending stiffness and the gusset plates' rotational stiffness. This can be evaluated by the method in Appendix B.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.



Figure 3. Stability concepts and limits.

The aforementioned equations are applicable under the condition that the connection length ratio,  $\xi$ , and the normalized rotational stiffness,  $\xi \kappa_{Rg}$ , are the same at both ends. However, this condition is not satisfied in the chevron configuration because the upper beam cannot be assumed as rigid (Figure 4(a)). In this configuration, the equivalent connection length ratio becomes larger and the rotational stiffness becomes smaller because of the rotation of the connected beam. The length of the upper connection,  $\xi_2 L_0$ , is measured from the cross-sectional center of the beam, while the rotational stiffness is expressed by the following Equation (5) and shown in Figure 4(b).

$$K_{Rg2} = \frac{1}{(1/K_{Rb}) + (1/K_{Rg2})}$$
(5)

$$\xi_{KRg1} = \frac{K_{Rg1}\xi_1 L_0}{\gamma_I E I_B}, \quad \xi_{KRg2} = \frac{K_{Rg2}\xi_2 L_0}{\gamma_I E I_B}$$
(6)

Here,  $K_{Rb}$  is the rotational stiffness of the beam about the brace major axis with the brace bending in the out-of-plane direction, and  $K'_{Rg2}$  is the rotational stiffness of the upper gusset plate. When the





rotational stiffness of the lower gusset plate at the column-beam joint is defined as  $K_{Rg1}$ , the normalized rotational stiffness at both ends can be defined as Equation (6).

The ultimate strength, which is based on the asymmetrical conditions shown in Figure 5, is calculated based on an approach similar to that of the previous study [1]. First, in the symmetric collapse mode in Figure 5(a), the gusset plates are assumed to be rigid  $(K_{Rg1}, K_{Rg2} \rightarrow \infty)$  and out-of-plane deformation of the connection zones is idealized as a sinusoidal shape, as shown in the figure and given in Equations (7) and (8):

$$y_1 = a_{r1} \frac{x}{\xi_1 L_0} + y_{r1} \left\{ 1 - \cos\left(\frac{\pi}{2} \frac{x}{\xi_1 L_0}\right) \right\}$$
(7)

$$y_2 = a_{r2} \frac{x}{\xi_2 L_0} + y_{r2} \left\{ 1 - \cos\left(\frac{\pi}{2} \frac{x}{\xi_2 L_0}\right) \right\}$$
(8)

where  $y_{r1}$  and  $y_{r2}$  denote the out-of-plane deformation at the lower and upper restrainer ends, respectively. Similarly,  $a_{r1}$  and  $a_{r2}$  denote the imperfections at the lower and upper restrainer ends. The flexural strain energy stored in each connection zone is then given as follows:

$$U_{\varepsilon 1} = \frac{\gamma_J E I_B}{2} \int_0^{\xi_1 L_0} \left( \frac{d^2}{dx^2} \left( y_{r1} - \frac{a_{r1}}{\xi_1 L_0} \right)^2 \right) dx = \frac{\pi^4 \gamma_J E I_B y_{r1}^2}{64(\xi_1 L_0)^3} \tag{9}$$

$$U_{\varepsilon 2} = \frac{\gamma_J E I_B}{2} \int_0^{\xi_2 L_0} \left( \frac{d^2}{dx^2} \left( y_{r2} - \frac{a_{r2}}{\xi_2 L_0} \right)^2 \right) dx = \frac{\pi^4 \gamma_J E I_B y_{r2}^2}{64 (\xi_2 L_0)^3}$$
(10)

Including the reduction due to the rotational springs at each gusset plate, the total flexural strain energy from the connection zones can be assumed as follows:



Figure 5. Collapse mechanism modes with rotational springs.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

$$U_{\varepsilon} = U_{\varepsilon 1} + U_{\varepsilon 2} = \frac{\gamma_{J} E I_{B} \pi^{4}}{64 L_{0}^{3}} \Biggl\{ \frac{y_{r1}^{2}}{\zeta_{1}^{3}} \Biggl( \frac{\xi^{\kappa_{Rg1}}}{\zeta_{\kappa_{Rg1}} + 3} \Biggr)^{2} + \frac{y_{r2}^{2}}{\zeta_{2}^{3}} \Biggl( \frac{\xi^{\kappa_{Rg2}}}{\zeta_{\kappa_{Rg2}} + 3} \Biggr)^{2} \Biggr\}$$
(11)

Note that in Equation (11), the bending deformations of the connection zone become equal to rotational deformation of the end spring when  $\xi \kappa_{Rg} = 3$  [1]. The rotation angle of the lower and upper plastic hinges at the restrainer ends is expressed as follows:

$$\Delta\theta_{r1} = \frac{\pi y_{r1}}{2\xi_1 L_0} \frac{\xi^{\kappa_{Rg1}}}{\xi^{\kappa_{Rg1}+3}} + \frac{y_{r1}}{\xi_1 L_0} \frac{3}{\xi^{\kappa_{Rg1}+3}} = \frac{y_{r1} \left(\pi_{\xi} \kappa_{Rg1} + 6\right)}{2\xi_1 L_0 \left(\xi^{\kappa_{Rg1}+3}\right)}$$
(12)

$$\Delta\theta_{r2} = \frac{y_{r2} \left( \pi_{\xi} \kappa_{Rg2} + 6 \right)}{2\xi_2 L_0 \left(_{\xi} \kappa_{Rg2} + 3 \right)} \tag{13}$$

Then, the plastic strain energy stored in the plastic hinges is calculated as follows:

$$U_{p} = M_{p}^{r} \varDelta \theta_{r1} + M_{p}^{r} \varDelta \theta_{r2} = \frac{M_{p}^{r}}{2L_{0}} \left\{ \frac{y_{r1} \left( \pi_{\xi} \kappa_{Rg1} + 6 \right)}{\xi_{1} \left( \xi \kappa_{Rg1} + 3 \right)} + \frac{y_{r2} \left( \pi_{\xi} \kappa_{Rg2} + 6 \right)}{\xi_{2} \left( \xi \kappa_{Rg2} + 3 \right)} \right\}$$
(14)

The lower gusset plate spring rotation,  $\Delta \theta_{s1}$ , the upper gusset plate spring rotation,  $\Delta \theta_{s2}$ , and their strain energy,  $U_s$ , can be expressed as follows:

$$\Delta\theta_{s1} = \frac{y_{r1}}{\xi_1 L_0} \frac{3}{\xi^{\kappa} R_{g1} + 3}, \\ \Delta\theta_{s2} = \frac{y_{r2}}{\xi_2 L_0} \frac{3}{\xi^{\kappa} R_{g2} + 3}$$
(15)

$$U_{s} = \frac{1}{2} K_{Rg1} \Delta \theta_{s1}^{2} + \frac{1}{2} K_{Rg2} \Delta \theta_{s2}^{2}$$

$$= \frac{\gamma_{J} E I_{B}}{2} \left[ \frac{\xi^{\kappa_{Rg1}}}{\xi_{1} L_{0}} \left( \frac{y_{r1}}{\xi_{1} L_{0\xi} \kappa_{Rg1} + 3} \right)^{2} + \frac{\xi^{\kappa_{Rg2}}}{\xi_{2} L_{0}} \left( \frac{y_{r2}}{\xi_{2} L_{0\xi} \kappa_{Rg2} + 3} \right)^{2} \right]$$
(16)

The axial deformation,  $\Delta u_g$ , is then calculated from Equation (17) using the approximation  $\pi^2/8 \approx 1$ .

$$\Delta u_{g} \approx \frac{1}{2\xi_{1}L_{0}} \left( y_{r1}^{2} + 2a_{r1}y_{r1} \right) \left( \frac{3}{\xi^{\kappa}R_{g1} + 3} + \frac{\pi^{2}}{8} \frac{\xi^{\kappa}R_{g1}}{\xi^{\kappa}R_{g1} + 3} \right) + \frac{1}{2\xi_{2}L_{0}} \left( y_{r2}^{2} + 2a_{r2}y_{r2} \right) \left( \frac{3}{\xi^{\kappa}R_{g2} + 3} + \frac{\pi^{2}}{8} \frac{\xi^{\kappa}R_{g2}}{\xi^{\kappa}R_{g2} + 3} \right)$$
(17)

Assuming  $a_{r2} = a_r$ ,  $a_{r1} = r_a a_r$ ,  $y_{r2} = y_r$ , and  $y_{r1} = r_a y_r$ , the external work *T* is estimated from Equation (18).

@ 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

## STABILITY ASSESSMENT OF BRBS WITH CHEVRON CONFIGURATION

$$T \approx \frac{y_r^2 + 2a_r y_r}{2L_0} \times \left(\frac{r_a^2}{\xi_1} \left(\frac{\pi^2}{8} \frac{\xi^{\kappa_{Rg1}}}{\xi^{\kappa_{Rg1}} + 3} + \frac{3}{\xi^{\kappa_{Rg1}} + 3}\right) + \frac{1}{\xi_2} \left(\frac{\pi^2}{8} \frac{\xi^{\kappa_{Rg2}}}{\xi^{\kappa_{Rg2}} + 3} + \frac{3}{\xi^{\kappa_{Rg2}} + 3}\right)\right) \cdot N$$
(18)

With the balance of energy differential  $\partial (U_{\varepsilon} + U_s + U_p - T)/\partial y_r = 0$ ,

$$\frac{\partial(U_{\varepsilon} + U_P + U_s - T)}{\partial y_r} = \frac{\gamma_J E I_B \pi^4 y_r}{32L_0^3} \left\{ \frac{r_a^2}{\xi_1^3} \left( \frac{\xi \kappa_{Rg1}}{\xi \kappa_{Rg1} + 3} \right)^2 + \frac{1}{\xi_2^3} \left( \frac{\xi \kappa_{Rg2}}{\xi \kappa_{Rg2} + 3} \right)^2 \right\}$$
(19)

$$+\frac{M_{p}^{r}}{2L_{0}}\left\{\frac{r_{a}\left(6+\pi_{\xi}\kappa_{Rg1}\right)}{\xi_{1}\left(\xi\kappa_{Rg1}+3\right)}+\frac{\left(6+\pi_{\xi}\kappa_{Rg2}\right)}{\xi_{2}\left(\xi\kappa_{Rg2}+3\right)}\right\}+\frac{9\gamma_{J}EI_{B}y_{r}}{L_{0}^{3}}\left\{\frac{r_{a\xi}^{2}\kappa_{Rg1}}{\xi_{1}^{3}\left(\xi\kappa_{Rg1}+3\right)^{2}}+\frac{\xi\kappa_{Rg2}}{\xi_{2}^{3}\left(\xi\kappa_{Rg2}+3\right)^{2}}\right\}$$
$$-\frac{y_{r}+a_{r}}{L_{0}}\left(\frac{r_{a}^{2}}{\xi_{1}}\left(\frac{\xi\kappa_{Rg1}\pi^{2}/8+3}{\xi\kappa_{Rg1}+3}\right)+\frac{1}{\xi_{2}}\left(\frac{\xi\kappa_{Rg2}\pi^{2}/8+3}{\xi\kappa_{Rg2}+3}\right)\right)\cdot N=0$$

From Equation (19), we obtain the formula of N as a function of  $M_p^r$ ,  $\zeta \kappa_{Rg1}$ ,  $\zeta \kappa_{Rg2}$ ,  $\zeta_1$ , and  $\zeta_2$ . By reducing the moment transfer-capacity,  $M_p^r$ , by the out-of-plane drift-induced moment,  $M_0^r$ , as same in the previous study [1], the ultimate strength of the BRBs can be expressed as follows:

$$N = N_{cr}^{r} + \beta \frac{M_{p}^{r} - M_{0}^{r}}{y_{r} + a_{r}}, \qquad \beta = \frac{4}{\pi} \cdot \frac{\frac{r_{a}}{\xi_{1}} \frac{\xi^{\kappa_{Rg1} + 6/\pi}}{\xi^{\kappa_{Rg1} + 3}} + \frac{1}{\xi_{2}} \frac{\xi^{\kappa_{Rg2} + 6/\pi}}{\xi^{\kappa_{Rg2} + 3}}}{\frac{r_{a}^{2}}{\xi_{1}} \frac{\xi^{\kappa_{Rg1} + 24/\pi^{2}}}{\xi^{\kappa_{Rg1} + 3}} + \frac{1}{\xi_{2}} \frac{\xi^{\kappa_{Rg2} + 24/\pi^{2}}}{\xi^{\kappa_{Rg2} + 3}} \approx 1$$
(20)

$$N_{cr}^{r} = \frac{\pi^{2} \gamma_{J} E I_{B}}{(2L_{0})^{2}} \frac{\frac{r_{a\xi}^{2} \kappa_{Rg1}}{\xi_{1}^{3} \left(\frac{\xi}{\kappa_{Rg1} + 3}\right)} + \frac{\xi^{\kappa_{Rg2}}}{\xi_{2}^{3} \left(\frac{\xi}{\kappa_{Rg2} + 3}\right)}}{\frac{r_{a\xi}^{2} \kappa_{Rg1} + 24/\pi^{2}}{\xi^{\kappa_{Rg1} + 3}} + \frac{1}{\xi_{2}} \frac{\xi^{\kappa_{Rg2} + 24/\pi^{2}}}{\xi^{\kappa_{Rg2} + 3}}$$
(21)

By a similar process, in the asymmetrical collapse mode in Figure 5(b), Equation (21) becomes

$$N_{cr}^{r} = \frac{\pi^{2} \gamma_{J} EI_{B}}{(2L_{0})^{2}} \frac{C_{2}}{C_{1}}, C_{2} = \frac{r_{a\xi}^{2} \kappa_{Rg1}}{\xi_{1}^{3} \left(\xi \kappa_{Rg1} + 3\right)} + \frac{\xi^{\kappa}_{Rg2}}{\xi_{2}^{3} \left(\xi \kappa_{Rg2} + 3\right)},$$

$$C_{1} = \frac{\left(\xi \kappa_{Rg1} + 24/\pi^{2}\right) \left(r_{a}^{2} + r_{a}\xi_{1} - r_{a}^{2}\xi_{2}\right)}{\xi_{1} (1 - \xi_{1} - \xi_{2}) \left(\xi \kappa_{Rg1} + 3\right)} + \frac{\left(\xi \kappa_{Rg2} + 24/\pi^{2}\right) (1 + r_{a}\xi_{2} - \xi_{1})}{\xi_{2} (1 - \xi_{1} - \xi_{2}) \left(\xi \kappa_{Rg1} + 3\right)}$$
(22)

For the one-sided collapse mode shown in Figure 5(c), Equation (21) becomes

$$N_{cr}^{r} = \frac{\pi^{2} (1 - \xi_{1} - \xi_{2}) \gamma_{J} E I_{B}}{(2\xi_{2}L_{0})^{2}} \frac{\xi^{\kappa_{Rg2}}}{(1 - \xi_{1}) \left(\xi^{\kappa_{Rg2}} + 24/\pi^{2}\right)}$$
(23)

Comparing Equations (21), (22), and (23), the minimum  $N_{cr}^r$  is determined by the asymmetrical or one-sided mode. As a result, the stability limit—determined by the cross point of Equations (4) and (20)—can be expressed as Equation (1).

@ 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

$$N_{lim1} = \frac{\left(M_p^r - M_0^r\right)/a_r + N_{cr}^r}{\left(M_p^r - M_0^r\right)/\left(a_r N_{cr}^B\right) + 1} > N_{cu}$$
(1)

 $N_{cr}^{r}$  can be obtained using the equivalent slenderness ratio, given as follows:

$$\lambda_r = \frac{2L_0}{i_c} \cdot \sqrt{\frac{C_1}{C_2}} \quad \text{(asymmetrical mode)} \tag{24}$$

$$\lambda_r = \frac{2\xi_2 L_0}{i_c} \sqrt{\frac{(1-\xi_1)\left(\xi \kappa_{Rg2} + 24/\pi^2\right)}{(1-\xi_1 - \xi_2)\xi \kappa_{Rg2}}} \quad \text{(one-sided mode)}$$
(25)

 $C_1$  and  $C_2$  in Equation (24) are defined in Equation (22).

Furthermore, the stability limit with plastic hinges at the gusset plates,  $N_{lim2}$ , can be expressed as follows:

$$N_{lim2} = \frac{\left(M_p^r - M_0^r + C_3\right)/a_r}{\left(M_p^r - M_0^r + C_3\right)/\left(a_r N_{cr}^B\right) + 1}, C_3 = \left(\frac{M_p^{g1} - M_0^r}{\xi_1} + \frac{M_p^{g2} - M_0^r}{\xi_2}\right)$$
$$\frac{1}{1/\xi_1 + 1/\xi_2 + 4/(1 - \xi_1 - \xi_2)} (\text{asymmetrical mode})$$
(26)

$$N_{lim2} = \frac{\left[ (1 - \xi_1 - \xi_2) \left( M_p^{g^2} - M_0^r \right) / (1 - \xi_1) + M_p^r - M_0^r \right] / a_r}{\left[ (1 - \xi_1 - \xi_2) \left( M_p^{g^2} - M_0^r \right) / (1 - \xi_1) + M_p^r - M_0^r \right] / (a_r N_{cr}^B) + 1}$$
(one-sided mode)  
(27)

It can easily be confirmed that Equations (24) and (26) become Equations (2) and (3), respectively, when  $\xi_1 = \xi_2$ ,  $\xi \kappa_{Rg1} = \xi \kappa_{Rg2}$ , and  $r_a = 1$ .

# 3. CYCLIC BUCKLING-RESTRAINED BRACES LOADING TESTS WITH CHEVRON CONFIGURATION

To validate the proposed stability equations, cyclic loading tests were performed on BRBs in a chevron configuration, including initial out-of-plane drifts. The test program simulated the worst-case scenario, in which the maximum in-plane story drift occurs at the same time as the 1% out-of-plane story drift. The test configuration with the specimen is shown in Figures 6–9, and the test matrix is summarized in Table I. The core plate material was JIS-SN400B (average yield strength = 293 MPa), and the core cross-section size  $A_c$  was  $12 \times 90$  mm. The restrainer is either a mortar-filled square box section with a width of 125 mm and thickness of 4.5 mm or a circular tube with an external diameter of 165.2 mm and tube wall thickness of 4.5 mm. Three connection types of upper secondary beams as shown in Figure 7, combined with the gusset plates as shown in Figure 8, were used in the tests: the high-stiffness connection ( $\xi \kappa_{Rg2}$ =0.19). The specimens were labeled in which H, M, or L is stiffness at the upper connection; R is rectangular restrainer; C is circular restrainer; N is no reinforcement; F are ribs; C are collars; and-2 is the ratio of the insert zone length to the core plate width. Rotational stiffness type, restrainer end reinforcements were attached to RF2 with

#### STABILITY ASSESSMENT OF BRBS WITH CHEVRON CONFIGURATION



Figure 6. Test setup and loading protocol.

ribs and CC2 with collars as in Figure 9(iv) and (v). The fabrications of BRBs and gusset plates were carried out under AIJ/JASS6 specifications, and the initial imperfection of the specimen was confirmed less than 1/2000 of the length. This value was counted in the stability assessment. Prior to each test, an out-of-plane displacement equivalent to 1% radian story drift was applied to each specimen. For cyclic loading, up to 3% normalized axial deformation ( $\varepsilon_n = \delta/L_p$ ) was applied, according to the loading



Figure 7. Types of secondary beams.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.



Figure 8. Types of gusset plates (millimeter).

protocol shown in Figure 6. Here, the normalized axial deformation, which is approximately equivalent to the story drift angle, is the ratio of the axial deformation to the plastic length,  $L_p$ , of the core plate. After  $\varepsilon_n = 3.0\%$ , the same amplitude was used until fracture.

The hysteresis loops obtained from the cyclic loading tests for each specimen are shown in Figure 10. The axial stress is defined as the axial force divided by the initial core section area.



Figure 9. Buckling-restrained braces specimens with various restrainer ends (millimeter).

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

Core yield Restrainer Lower Upper Upper Busset Connection Total Zone connection connection Upper	strengtin surmess spring Upper spring spring beam spring surmess <sup>2</sup> lengtin lengtin lengtin lengtin Learance normalized $\sigma_{m}$ $r_{m}$ $FI_{m}$ $K_{m,1}$ $K_{m,2}$ $K'_{m,2}$	$(N/mn^2)$ $(kNm^2)$ $(kNm^2)$ $(kNm)$ $(kNm)$ $(kNm)$ $(kNm)$ $(kNm^2)$ $(mm)$	293         1080         11426         3153         3585         26174         696         2460         180         1044         454         602         1.0         2.73	293 1500 11426 1691 3585 3202 696 2460 180 1044 454 602 1.0 1.46	293         1080         306         221         351         598         696         2460         180         1044         454         602         1.0         0.19	293         1080         306         221         351         598         696         2460         180         1044         454         602         1.0         0.19	293         1500         306         221         351         598         696         2460         180         1044         454         602         1.0         0.19	293 1080 306 221 351 598 696 2460 0 1404 454 602 1.0 0.19	
Core yield Restra	surengun surm رFI	(N/mm <sup>2</sup> ) (kN <sub>1</sub>	293 108	293 15(	293 108	293 108	293 15(	293 108	
Core Coi	area su $A_{\tilde{a}}$	Specimen (mm <sup>2</sup> ) (N	H-RN2 1080	M-CN2 1080	L-RN'2 1080	L-RF2 1080	L-CC2 1080	L-RN0 1080	

Table I. Test matrix.

STABILITY ASSESSMENT OF BRBS WITH CHEVRON CONFIGURATION



(h) Out-of-plane displacement in L-RN'2 and L-RN0

Figure 10. Normalized axial force-deformation relationship and out-of-plane displacement transition.

Each figure also shows the cumulative plastic deformation,  $\Sigma \Delta \varepsilon_p = \Sigma \Delta \delta_p / L_p$ , and the normalized cumulative absorbed energy,  $\chi_w = E_d / \sigma_v A_c$ , until instability. Specimen H-RN2 (Figure 10(a)), with the high-stiffness connection, showed stable hysteretic behavior until the core plate fractured after the 18th cycle of 3% normalized axial deformation. Similarly, specimen M-CN2 (Figure 10(b)), with a medium-stiffness connection, showed stable hysteresis until the 18th cycle of 3% normalized axial deformation. This performance would easily satisfy the requirement for energy-dissipating braces. Specimen L-RN'2 (Figure 10(c)), which has a low-stiffness connection with weaker restrainer-ends, showed stable hysteresis until the second cycle at 3% normalized axial deformation, after which out-of-plane instability occurred. The specimen started buckling in the asymmetrical mode as shown in Figure 10(g), agreeing with the predicted failure mechanism. Even under the same low stiffness beam conditions, the specimens with restrainer-end reinforcements (L-RF2 and L-CC2) showed stable hysteresis of 3% normalized axial deformation until the core plate fractured at the 18th and 15th cycles, respectively, as shown in Figure 10(d) and (e). This means that the restrainer-end reinforcements are effective in enhancing the stability limits. In L-CC2, friction between the collar and the restrainer caused slight strength increase at 3% compression. Specimen L-RN0 (Figure 10 (f)), which has a low-stiffness gusset plates without an insert zone length, showed a stable hysteresis loop until only the first cycle of 0.5% normalized axial deformation, after which it experienced out-of-plane instability associated with hinging at the neck in a similar mode as L-RN'2. These test results indicate that the stability of a BRB with a chevron configuration is

	nit	Experimental results	(kN)	No collapse	No collapse	527	No collapse	No collapse	339
	oility lin	Judge $N_{lim}$	$>N_{cu}$	OK	OK	ŊŊ	OK	OK	NG
	Stał	Total $N_{i:}$	(kN)	1237	1060	370	1591	1683	257
		Plastic N <sub>ine</sub>	(kN)	4717	3932	450	1591	1683	485
		Elastic Nime	(kN)	1237	1060	370	1595	1693	257
on.	Core	yield strength	(kN)	475	475	475	475	475	475
posed equati		Connection radius <i>i</i>	(uuu)	31.3	31.3	31.3	31.3	31.3	31.3
ons using proj		Slenderness ratio	$\lambda_r$	63	75	170	170	170	170
llity evaluatio		buckling strength	(kN)	428	389	112	112	112	112
Table II. Stabi		Initial imperfection a	(uuu)	4.0	4.8	5.6	4.0	5.0	3.1
	Elactic	buckling strength	(kN)	5241	4369	2151	2151	2054	2151
		Upper gusset $M_{2}^{g2}$	(kNm)	274	274	2.49	2.49	2.49	2.49
	noment	Lower gusset $M_{a}^{g1}$	(kNm)	355	355	2.48	2.48	2.48	2.48
	tending n	Initial $M_0^r$	(kNm)	0.0	0.0	0.0	0.0	0.0	0.0
	В	Restrainer ends <i>M</i> <sup>*</sup>	(kNm)	4.27	4.27	1.75	23.0	45.1	0.51
			Specimen	H-RN2	M-CN2	L-RN'2	L-RF2	L-CC2	L-RN0

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

*Earthquake Engng Struct. Dyn.* (2016) DOI: 10.1002/eqe



Figure 11. Relationship between axial force and out-of-plane displacement.

significantly affected by the rotational stiffness of the attached beams and the strength of the restrainer ends, as the proposed equations predicted. Figure 10(h) shows the out-of-plane displacement transitions at the upper and the lower restrainer ends in the collapsed specimens of L-RN'2 and L-RN0. Both show larger amplitudes at the upper restrainer ends prior to instability, which indicates that these specimens collapsed in an asymmetric mode with larger displacements at the upper connections as shown in Figure 5(b).

In order to confirm the validity of the proposed equations, each specimen was evaluated using Equations (4), (20), and (24–27). The restrainer moment transfer capacity,  $M_p^r$ , of each specimen was estimated using the same equations as those in the previous study [1]. In Table II, the estimated values of the stability limit,  $N_{lim}$ , are compared with the maximum axial loads obtained from the tests. It is observed that the expected stability limits of collapsed specimens L-RN'2 and L-RNO were 371 and 256 kN, respectively, which were lower than the expected BRB design axial force  $N_{cu} = 1.5 \times A_c \times \sigma_{cy} = 475$  kN. The  $N_{lim}$  values of all other specimens exceeded the design axial force  $N_{cu}$  of 475 kN, which satisfactorily demonstrated stable hysteresis. Figure 11 shows a comparison between the measured axial force–displacement relationships of the collapsed specimens and those obtained using Equations (4) and (20). Although the test results that exceeded the stability limit had larger force–displacement relationships than those obtained using the proposed equations, the stiffness degradation points generally agreed with the predicted strength, and their force-displacement paths after the collapse tended to fall in parallel to the estimated collapse path.



Figure 12. Mechanical model at upper connection.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

#### STABILITY ASSESSMENT OF BRBS WITH CHEVRON CONFIGURATION

# 4. STABILITY DESIGN OF BUCKLING-RESTRAINED BRACES WITH CHEVRON CONFIGURATION

Although the proposed equations can be used to evaluate the stability of BRBs in chevron configurations, the equations are too conservative in cases where the rotational stiffness of the upper beam  $K_{Rb}$  is high. This is because of the simplification in taking a single spring at the beam center instead of two springs as shown in Figure 4(a). Where rotational stiffness of the beam is relatively high, the rotation of the connection is observed to start near the bottom of the beam. Here, an additional approach using simplified models for evaluating these effects is proposed. When the moment transfer capacity at the restrainer-ends is negligible, the precise stability limit of the symmetrical collapse mode is determined by using the double-spring model of the beam rotation and upper connection flexure as shown in Figure 12(a). Further simplifications representing special conditions are shown in Figure 12(b)–(d).

When the rotational stiffness of the upper beam is low ( $K_{Rb} < aK'_{Rg2}$ ), the spring should be placed at the beam center as in Model-1 (Figure 12(b)). The rotational stiffness of the upper connections should be evaluated using Equation (5), the connection length should be evaluated as  $\xi_2 L_0$ , and Equations (1) and (24–27) should be used for stability evaluation. However, when the rotational stiffness of the upper beam is considerably higher ( $aK'_{Rg2} < K_{Rb} < bK'_{Rg2}$ ), Model-2 (Figure 12(c)) can be used, with the spring placed at the bottom of the beam. The beam rotation may be neglected and Model-3 ( Figure 12(d)) used when the rotational stiffness of the upper beam is extremely high ( $bK'_{Rg2} < K_{Rb}$ ) due to stiff perpendicular secondary beams, floor slabs, and other elements. The stability limits of the models in Figure 12(a)–(d) are expressed in the following equations.

Note that from Equation (1),  $N_{lim1}$  (symmetric) becomes the same as  $N_{cr}^r$  when  $M_p^r = 0$ .

$$N_{lim1} = N_{cr}^{\ r} = \frac{\left(\xi_2 L_0 K_{Rg2}^{'} + \xi_g L_0 K_{Rb}\right) - \sqrt{\left(\xi_2 L_0 K_{Rg2}^{'} + \xi_g L_0 K_{Rb}\right)^2 - 4\left(\xi_g L_0\right)(\xi_b L_0)K_{Rg2}^{'}K_{Rb}}}{2\left(\xi_g L_0\right)(\xi_b L_0)}$$
(20)

(double-spring model : Figure 11(a))

$$N_{lim1} = N_{cr}{}^{r} = \frac{K_{Rg2}}{\xi_2 L_0} = \frac{1}{\frac{1}{K_{Rg2}} + \frac{1}{K_{Rb}}} \frac{1}{\xi_2 L_0}$$
(Model 1: Figure 11(b)) (29)

$$N_{lim1} = N_{cr}^{\ r} = \frac{K_{Rg2}}{\xi_g L_0} = \frac{1}{\frac{1}{K_{Rg2}} + \frac{1}{K_{Rb}} \xi_g L_0}$$
(Model 2: Figure 11(c)) (30)

$$N_{lim1} = N_{cr}^{\ r} = \frac{K_{Rg2}}{\xi_g L_0}$$
 (Model 3: Figure 11(d)) (31)

The stability limits derived from Equations (28–31) are compared in Figure 13(a) and (b) for different  $\xi_2/\xi_g$  ratios. The values obtained by Equation (28) are close to those obtained from Equation (29) in a range of relatively low  $K_{Rb}/K'_{Rg2}$  ratios. However, these values reach those obtained from Equation (30) at medium  $K_{Rb}/K'_{Rg2}$  ratios, and both sets of values approach those obtained from Equation (31) at high  $K_{Rb}/K'_{Rg2}$  ratios. In this study, we propose the following limit values for  $K_{Rb}/K'_{Rg2}$  to determine the applicable range for the simplified Models 1–3:

$$a = \xi_2 / \xi_g - 1, \tag{32}$$

$$b = 10 \tag{33}$$

These limits are indicated in Figure 13.

Evaluation using numerical models consisting of beam elements as shown in Figure 14 was performed, including the effects of moment transfer capacity at the restrainer ends. The configuration of the models is based on the specimen L-RN'2, and elasto-plastic springs are



Figure 13. Stability limit for connection model.

introduced at the restrainer-ends. Asymmetrical imperfections based on experimental measurements noted in Table I were applied, and stability limits were evaluated by push-over analysis for each  $K_{Rb}/K'_{Rg2}$  ratio, including geometrical nonlinearity. The evaluation results are illustrated in Figure 15, where they are compared with the results of the proposed method. In the proposed equations, one-sided and asymmetrical modes of Model 1 evaluations provide one of the smallest stability limits, asymmetrical modes of Model 3 evaluations have the highest limits, and the limits of Model 2 evaluations fall in between. In this case, the numerical analysis results are close to Model 1 where  $K_{Rb}/K'_{Rg2} < a = \xi_2/\xi_g - 1 = 0.6$ , close to Model 2 where  $0.6 < K_{Rb}/K'_{Rg2} < 10$ , and close to Model 3 where  $10 < K_{Rb}/K'_{Rg2}$ . This implies the evaluated borders *a* and *b* appropriately assess the stability limit of BRBs in the chevron configuration.

The  $K_{Rb}/K'_{Rg2}$  value in the specimens L-RN'2 and L-RN0 was 1.70 in Table I, which is larger than  $a = \zeta_2/\zeta_g - 1 = 0.6$  and less than 10. This indicates that these specimens can be evaluated with Model 2, instead of Model 1. Figure 16 shows a comparison of the estimated stability limit  $N_{lim}$  using Models 1 ( $\blacksquare \diamond$ ) and 2 ( $\square \diamond$ ), with the peak axial force obtained from the experimental tests and also from the results of the previous study ( $\bigcirc$ ) [1]. By using Model 2, calculation accuracy is slightly improved over Model 1. In general, the results obtained using the proposed equations are consistent with the experimental results, and the method of selecting the connection models by the stiffness ratios of attached beams is considered valid.



Figure 14. Full model  $\xi_2/\xi_g = 1.6$ .

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.



Figure 15. Simplified models versus accurate model.

The following process can be applied in practice to ensure BRB stability.

- 1. The simplified BRB Models1-3 are selected from the condition of Equations (32) and (33).
- 2.  $N_{cr}^{r}$  is determined by applying the larger equivalent slenderness ratio from Equation (24) and (25) into design column curves. Then  $N_{lim1}$  is calculated by Equation (1).
- 3.  $N_{lim2}$  is calculated from the smaller of Equations (26) and (27). Then the stability limit,  $N_{lim}$ , is evaluated as the smaller of  $N_{lim1}$  and  $N_{lim2}$ .
- If N<sub>lim</sub> is larger than expected yield axial force of the core, N<sub>cu</sub>, BRB stability is secured. If not, increase K<sub>Rb</sub>, K'<sub>Rg</sub>, or M<sup>r</sup><sub>p</sub> and repeat steps 1 to 4.

# 5. CONCLUSIONS

In this study, the authors' previously proposed method for stability evaluation of BRBs, including bending-moment transfer capacity at restrainer ends, was extended to the use of BRBs under asymmetrical conditions in chevron configurations. A compact equation set was established to evaluate global BRB stability under asymmetrical conditions. A series of cyclic loading tests were conducted on BRBs in a chevron configuration, and the results were compared with those obtained using the extended method of stability evaluation. The resulting conclusions are summarized as follows:

1. In the cyclic loading tests of BRBs in a chevron configuration, specimens with low upper-beam stiffness experienced out-of-plane instability before achieving stable hysteresis until core fracture, whereas specimens with restrainer-end reinforcements under the same conditions showed stable hysteresis. This may be attributed to the fact that the stiffness of the upper connection and the restrainer moment transfer capacity both significantly influence BRB stability.



Figure 16. Accuracy of proposed method.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

- 2. The extended method of the stability evaluation is applicable to the direct estimation of the stability limit strength of BRBs regardless of the configuration. The obtained results demonstrate that the evaluated stability limit strengths align well with the experimental results of collapsed specimens, thus validating the proposed equations.
- In order to facilitate the stability evaluation of BRBs, three simple evaluation models were proposed for upper connections, applicable for specific ranges of stiffness ratio between the upper beam and the upper gusset plate.

#### APPENDIX A: Estimation of the Bending Moment Produced by Out-of-plane Drift

The initial bending moment  $M_0^r$  at the restrainer ends produced by out-of-plane drift can be estimated from numerical analyses using the model as shown in Figure 17 or by using the following equation, which takes  $\xi \kappa_{Rg} = \max[\xi \kappa_{Rg1}, \xi \kappa_{Rg2}]$ , and  $\xi = \min[\xi_1, \xi_2]$ .

$$M_{0}^{r} = (1 - 2\xi) \left\{ \frac{\delta_{0}}{L_{0}} - \frac{2s_{r}}{L_{in}} (1 - 2\xi) \right\} \cdot \frac{EI_{B}}{L_{0}} \cdot \frac{6\gamma_{J}}{2\xi' \left(3 - 6\xi^{'} + 4\xi^{'2}\right) + \gamma_{J} \left(1 - 2\xi^{'}\right)^{3} + \frac{6\xi}{\xi^{\kappa_{Rg}}} + \frac{6\gamma_{J} \left(1 - 2\xi^{'}\right)^{2}}{L^{\kappa_{Rr}}} \ge 0$$
(A1)

where

$$_{\xi}\kappa_{Rg} = \frac{K_{Rg}\xi L_0}{\gamma_J E I_B}, \ _L\kappa_{Rr} = \frac{K_{Rr}L_0}{E I_B}, \ \xi' = \xi + \frac{L_{in}}{L_0}$$
(A2)

 $K_{Rg}$  is the rotational spring at the gusset plates and  $K_{Rr}$  is the rotational spring at the restrainer ends. When  $K_{Rr}$  and  $EI_B/L_0$  become infinity,  $\gamma = 1$ , and  $\xi' = \xi$ , this equation approaches the simpler formulas from the previous study (Equation (31) in Reference [1]) as follows.

$$M_0^r = (1 - 2\xi) \left\{ \frac{\delta_0}{L_0} - \frac{2s_r}{L_{in}} (1 - 2\xi) \right\} K_{Rg} \ge 0$$
(A3)

 $M_0^r$  becomes zero when  $\frac{\delta_0}{L_0} \leq \frac{2s_r}{L_{in}} (1 - 2\xi)$ , from Equations (A1) and (A3).



Figure 17. Bending moment produced by out-of-plane drift.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

#### STABILITY ASSESSMENT OF BRBS WITH CHEVRON CONFIGURATION

#### APPENDIX B: Estimation of Global Elastic Buckling Strength of a BRB

The global elastic buckling strength of a BRB,  $N_{cr}^B$ , including the effects of the connection zone's bending stiffness and the gusset plates' rotational stiffness, can be estimated from numerical analysis, using the model shown in Figure 18, or by using the following equations, which take  $K_{Rg} = \min[K_{Rg1}, K_{Rg2}]$ , and  $\xi = \max[\xi_1, \xi_2]$ .

$$N_{cr}^B = \alpha^2 E I_B \tag{B1}$$

where  $\alpha$  is the value satisfying the following equations.

$$\alpha^{3}(EI_{B})^{2}L_{0}S_{1}S_{4} - \alpha^{2}EI_{B}L_{0}\left(K_{Rr}S_{1}C_{4} + \frac{K_{Rg} + K_{Rr}}{\sqrt{\gamma_{J}}}C_{1}S_{4}\right) + 2\alpha EI_{B}K_{Rg}S_{1}S_{4} + \alpha K_{Rg}K_{Rr}L_{0}\left(\frac{1}{\sqrt{\gamma_{J}}}C_{1}C_{4} - \frac{1}{\gamma_{J}}S_{1}S_{4}\right) - 2K_{Rg}K_{Rr}\left(S_{1}C_{4} + \frac{1}{\sqrt{\gamma_{J}}}C_{1}S_{4}\right) = 0$$
(B2)  
$$S_{1} = \sin\frac{\alpha}{\sqrt{\gamma_{J}}}\xi L_{0}, C_{1} = \cos\frac{\alpha}{\sqrt{\gamma_{J}}}\xi L_{0}, S_{4} = \sin\alpha L_{0}\left(\frac{1}{2} - \xi\right), C_{4} = \cos\alpha L_{0}\left(\frac{1}{2} - \xi\right)$$

When  $K_{Rr}$  is infinity and  $\gamma_J = 1$ , the solution approaches the simpler approximate formula in the previous study [1].

$$N_{cr}^{B} = \frac{4\pi^{2} E I_{B}}{L_{0}^{2}} \frac{\kappa_{Rg}^{2} + 10_{L} \kappa_{Rg} + 16}{\kappa_{Rg}^{2} + 14_{I} \kappa_{Rg} + 64}$$
(B3)

where  $_L \kappa_{Rg} = \frac{K_{Rg}L_0}{EI_B}$ .  $N_{cr}^B$  becomes  $\pi^2 EI_B/L_0^2$  when  $_L \kappa_{Rg} = 0$ , and  $N_{cr}^B = 4\pi^2 EI_B/L_0^2$  when  $_L \kappa_{Rg} = \infty$ .

# APPENDIX C: Estimation of Rotational Stiffness of the Upper Beam

The rotational spring stiffnesses of the gusset plate,  $K_{Rg2}$ ', and upper beam,  $K_{Rb}$ , in Table I were obtained directly by experiments prior to specimen loading as shown in Figure 19. This includes the



Figure 18. Buckling mode including springs.



Figure 19. Experimental evaluation of rotational spring stiffness.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

gusset plate deformation, the torsional stiffness of the main beam, the torsional stiffness given by rigidly connected secondary beams perpendicular to the main beam, the bending stiffness of the other BRB in tension, and the bending deformation of the main beam section along a weak axis.

For practical design, an easy evaluation method for calculation of the gusset plate stiffness,  $K'_{Rg}$ , is proposed in Reference [22]. Also, an evaluation method for calculation of torsional stiffness of the main beam is proposed as follows in Reference [23], whose validity is confirmed by FEM analyses.

The rotational springs of upper beams  $K_{Rb}$  can be derived as follows.

$$K_{Rb} = K_{RbT} + K_{RbSB} \tag{C1}$$

where  $K_{RbT}$  is the torsional stiffness of the main beam the BRB is attached on and  $K_{RbSB}$  is the torsional stiffness provided by the rigidly connected secondary beams perpendicular to the main beam. Equation (C1) neglects the bending stiffness of the other BRB in tension and the floor slab and assumes that the main beam rotates in torsion as a rigid body.  $K_{RbT}$  can be estimated by the following equation.

$$K_{RbT} = \frac{2GJv}{\left\{\frac{(\cosh v l_G - 1)^2}{\sinh v l_G} - \sinh v l_G + v l_G\right\}}, v = \sqrt{\frac{GJ}{E\Gamma}}$$
(C2)

where  $l_G$  is the half-length of attached main beam as shown in Figure 20 and GJ and E $\Gamma$  are Saint-Venant's torsional stiffness and bending torsional stiffness of the main beam, respectively.

 $K_{RbSB}$  can be estimated by the following equation.

$$K_{RbSB} = \frac{3EI_{SB}}{l_{SB}} \left(\frac{l_c}{h_{SB}}\right)^2 \tag{C3}$$

where  $l_{SB}$  is the length of secondary beam,  $EI_{SB}$  is bending stiffness of secondary beam, and  $h_{SB}$  is the vertical distance from the restrainer end to the center of the secondary beam as shown in Figure 21.  $l_c$  is the connection length along the brace from the center of the main beam as in Figure 20.

The aforementioned estimation formula can be used where the BRB connection point is placed at the center of the main beam and is detailed with stiffeners and the vertical deflection of the other



Figure 20. Rotational spring of attached beam.



Figure 21. Effect of out-of-plane secondary beam.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

end of the secondary beam is restrained. When a concrete floor slab is attached on the main beam, the aforementioned method gives conservative values. The method is also valid for situations requiring a large void adjacent to the main beam.

The practical evaluation methods for the rotational stiffness of the main beam including the effects of the other brace in tension will be studied further in the near future.

#### ACKNOWLEDGEMENTS

The authors would like to acknowledge the support of Nippon Steel & Sumikin Engineering Co. Ltd., Nikken Sekkei Ltd. and great contributions of Dr. Yoshinao Konishi, Mr. Hitoshi Ozaki, and Mr. Benjamin Sitler for this study.

# NOTATIONS

a, b:	border constant for the beam stiffness
$a_r$ :	total initial imperfection: $a_r = a_t + e + s_r + (2s_r/L_{in})\xi L_0$ , $a_t$ : maximum imperfection along the
	restrainer, $e$ : axial force eccentricity, $s_r$ : clearance between core and restrainer
$y_{r1}$ :	out-of-plane deformation at column-side restrainer end
$y_{r2}$ :	out-of-plane deformation at beam-side restrainer end
y <sub>re1</sub> :	additional out-of-plane deformation due to bending of connection zone at the column-side end
y <sub>re2</sub> :	additional out-of-plane deformation due to bending of connection zone at the beam-side end
$y_{rs1}$ :	additional deformation due to the column-side end spring rotation
$y_{rs2}$ :	additional deformation due to the beam-side end spring rotation
$A_c$ :	core plate cross-section
$B_c$ :	core plate width
$EI_B$ :	bending stiffness of restrainer
$E_d$ :	absorbed hysteretic energy until instability or fracture
$K_{Rb}$ :	rotational spring stiffness of attached beam
$K_{Rg1}$ :	rotational spring stiffness at column-side gusset plate
$K'_{R^{\varrho}2}$ :	rotational spring stiffness at beam-side gusset plate
$K_{Rg2}$ :	rotational spring stiffness at beam-side gusset plate, including stiffness of the attached beam
$L_{in}$ :	insert zone length
$L_p$ :	plastic zone length of core plate
$M_0^r$ :	additional bending moment derived from story out-of-plane drift
$M_{v}^{\check{B}}$ :	bending strength of restrainer
$M_{p}^{g}$ :	plastic bending strength of gusset plate including axial force effect
$M_{p}^{r}$ :	bending-moment transfer capacity at restrainer end
N:	axial force
$N_{cu}$ :	maximum axial strength of core plate
$N_{cr}^B$ :	global elastic buckling strength of BRB including effect of gusset plate rotational stiffness
$N_{cr}^r$ :	global elastic buckling strength with pin conditions at restrainer ends
$N_{lim}$ :	expected stability limit axial force
$N_{lim1}$ :	expected stability limit axial force assuming elastic gusset plates
$N_{lim2}$ :	expected stability limit axial force assuming plastic hinges at gusset plates
T:	external work
$U_p$ :	plastic strain energy stored in plastic hinges
$U_s$ :	energy stored in springs
$U_{\varepsilon}$ :	strain energy stored in both connection zones
$\gamma_J EI_B$ :	bending stiffness of connection zone
$\delta_0$ :	story out-of-plane drift
$\delta$ :	axial deformation
$\delta_p$ :	axial plastic deformation

- $\xi_1 L_0$ : connection zone length at column-side
- $\xi_2 L_0$ : connection zone length at beam-side
- $\Delta \theta_{r1}$ : rotational angle of plastic hinge at column-side restrainer end
- $\Delta \theta_{r^2}$ : rotational angle of plastic hinge at beam-side restrainer end
- $\Delta \theta_{s1}$ : rotation at column-side gusset plate
- $\Delta \theta_{s2}$ : rotation at beam-side gusset plate
- $\Delta u_g$ : axial deformation due to collapse mechanism
- $_{\xi}\kappa_{Rg1}$ : normalized rotational stiffness for column-side gusset plate (= $K_{Rg1}\xi_1L_0/\gamma_JEI_B$ )
- $\xi \kappa_{Rg2}$ : normalized rotational stiffness for beam-side gusset plate (= $K_{Rg2}\xi_2 L_0/\gamma_J E I_B$ )
- $\lambda_r$ : equivalent slenderness ratio for global elastic buckling strength, with pin conditions at restrainer ends
- $\Sigma \Delta \varepsilon_p$ : normalized cumulative plastic deformation (= $E_d / \sigma_y A_c$ )
- $\sigma_{cy}$ : yield stress of core plate material
- $\sigma_{ry}$ : yield stress of restrainer tube material
- $\sigma_n$ : normalized stress of BRB (=  $N/A_c$ )

#### REFERENCES

- Takeuchi T, Ozaki H, Matsui R, Sutcu F. Out-of-plane stability of buckling-restrained braces including moment transfer capacity. *Earthquake Engineering & Structural Dynamics* 2014; 43(6):851–869. DOI:http://dx.doi.org/ 10.1002/eqe.2376.
- Chou CC, Chen PJ. Compressive behavior of central gusset plate connections for a buckling -restrained braced frame. *Journal of Constructional Steel Research* 2009; 65(5):1138–1148. DOI:http://dx.doi.org/10.1016/j. jcsr.2008.11.004.
- Chou CC, Liu JH, Pham DH. Steel buckling-restrained braced frames with single and dual corner gusset connections: seismic tests and analyses. *Journal of Earthquake Engineering and Structural Dynamics* 2012; 41(7):1137–1156. DOI:http://dx.doi.org/10.1002/eqe.1176.
- Eryasar ME, Topkaya C. An experimental study on steel-encased buckling-restrained brace hysteretic dampers. Earthquake Engineering and Structural Dynamics 2010; 39(5):561–581. DOI:http://dx.doi.org/10.1002/eqe.959.
- Hikino T, Okazaki T, Kajiwara K, Nakashima M. Out-of-plane stability of buckling-restrained braces. Proceedings of ASCE Structural Congress 2011;938–949. DOI:http://dx.doi.org/10.1061/41171(401)83
- Ji X, Hikino T, Kasai K, Nakashima M. Damping identification of full-scale passively controlled five-story steel building structure. *Earthquake Engineering and Structural Dynamics* 2013; 42:277–295. DOI:http://dx.doi.org/ 10.1002/eqe.2208.
- Koetaka Y, Kinoshita T, Inoue K, Iitani K. Criteria of buckling-restrained braces to prevent out-of-plane buckling. Proceedings of 14th World Conference on Earthquake Engineering, 2008.
- Lin PC, Tsai KC, Wang KJ, Yu YJ, Wei CY, Wu AC, Tsai CY, Lin CH, Chen JC, Schellenberg AH, Mahin S, Roeder CW. Seismic design and hybrid tests of a full-scale three-story buckling-restrained braced frame using welded end connections and thin profile. *Earthquake Engineering and Structural Dynamics* 2012; 41 (9):1001–1020. DOI:http://dx.doi.org/10.1002/eqe.1171.
- Matsui R, Takeuchi T, Nishimoto K, Takahashi S, Ohyama T. Effective buckling length of buckling restrained braces considering rotational stiffness at restrainer ends. 7th International Conference on Urban Earthquake Engineering & 5th International Conference on Earthquake Engineering Proceedings, 2010; 1049–1058.
- Okazaki T, Hikino T, Kajiwara K. Out-of-plane stability of buckling-restrained braces. Proceeding of 15th World Conference on Earthquake Engineering, 2012.
- Tsai KC, Hsiao PC. Pseudo-dynamic test of a full-scale CFT/BRB frame—part II: seismic performance of bucklingrestrained braces and connections. *Earthquake Engineering and Structural Dynamics* 2008; 37:1099–1115. DOI: http://dx.doi.org/10.1002/eqe.803.
- 12. Wigle VR, Fahnestock LA. Buckling-restrained braced frame connection performance. *Journal of Constructional Steel Research* 2010; **66**(1):65–74. DOI:http://dx.doi.org/10.1016/j.jcsr.2009.07.014.
- Zhao J, Wu B, Ou J. A novel type of angle steel buckling-restrained brace: cyclic behavior and failure mechanism. *Earthquake Engineering and Structural Dynamics* 2011; 40(10):1083–1102. DOI:http://dx.doi.org/10.1002/ eqe.1071.
- Zhao J, Wu B, Ou J. Flexural demand on pin-connected buckling-restrained braces and design recommendations. Journal of Structural Engineering 2011; 138(11): 1398–1415. DOI: 10.1061/(ASCE)ST.1943-541X.0000549
- Architectural Institute of Japan: Recommendations for stability design of steel structures, Sec. 3.5 Bucklingrestrained braces, 2009, 74–79.
- 16. AISC341-10: seismic provisions for structural steel buildings, 2010, F4: Buckling-restrained braced frames.

© 2016 The Authors. Earthquake Engineering & Structural Dynamics published by John Wiley & Sons Ltd.

- Lin P-C, Tsai K-C, Wu A-C, Chuang M-C. Seismic design and test of gusset connections for buckling-restrained braced frames. *Earthquake Engineering and Structural Dynamics* 2014; 43(4):565–587. DOI:http://dx.doi.org/ 10.1002/eqe.2360.
- Zhao J, Wu B, Qu J. A practical and unified global stability design method of buckling-restrained braces: discussion on pinned connections. *Journal of Constructional Steel Research* 2014; 95(4):106–115. DOI:http://dx.doi.org/ 10.1016/j.jcsr.2013.12.001.
- 19. Bruneau M, Uang C M, Sabelli R S E. Ductile Design of Steel Structures, Chap.11, Ductile design of bucklingrestrained frames, 2nd edition McGraw-Hill, 2011
- Palmer KD, Christopulos AS, Lehman DE, Roeder CW. Experimental evaluation of cyclically loaded, large-scale, planar and 3-D buckling-restrained braced frames. *Journal of Constructional Steel Research* 2014; **101**(10):415– 425. DOI:http://dx.doi.org/10.1016/j.jcsr.2014.06.008.
- Ida M, Takeuchi T, Matsui R, Konishi Y, et al. Stability assessment of buckling restrained braces taking connections into account (part 2: evaluation of rotational stiffness of brace connections). *Proceedings of AIJ Annual Meeting, Structure-III* 2013; 22624:1247–1248 (in Japanese).
- Kinoshita T, Koetaka Y, Inoue I, Iitani K. Out-of-plane stiffness and yield strength of cruciform connections for buckling-restrained braces. *AIJ Journal of Structural and Constructional Engineering* 2008; **73**(632):1865–1873. DOI: 10.3130/aijs.73.1865. (in Japanese)
- Ohyama S, Takeuchi T, et al. Stability assessment of buckling restrained braces taking connections into account, (part 15: calculation methods of rotational stiffness of brace connections in chevron configuration). Proceedings of AIJ Annual Meeting, Structure-III 2015; 22548:1095–1096 (in Japanese).